70. *Diels-Alder* **Reactions of (lH-Indol-3-yl)-enacetamides and -endiacetamides: A Selective Access to Acetylamino-Functionalized** *(b* **jAnnelated Indoles and Carbazoles')**

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Diels-Alder reactions of the $(1H$ -indol-3-yl)-enacetamides and -endiacetamides $1a-d$ with some carbodienophiles and **4-phenyl-3H-l,2,4-triazole-3,5(4H)-dione** give rise to the novel amino-functionalized carbazoles *46* and **8** (Scheme *3).* Ethenetetracarbonitrile reacts with **lb** to furnish the Michael-type adduct **7** (Scheme *3).* Structural aspects of the starting materials **1,** which exhibit above all 3-vinyl-1H-indole reactivity, are discussed with regard to the prediction of a Diels-Alder process.

Introduction. – *Diels-Alder* reactions of 2- and 3-vinyl-1H-indoles as 4π -electron components are now well established as a versatile procedure for the regio- and stereoselectively controlled syntheses of $[b]$ annelated indoles and/or carbazoles $[1-5]$. This methodology should also be useful for the synthesis of compounds **B** functionalized with alkoxy, alkylthio, or amino groups (see $A \rightarrow B$, *Scheme 1*) which could be of interest as building blocks in alkaloid chemistry [6] and/or in the development of pharmacologically active lead compounds [7]. The *Diels-Alder* reactivity of the precursor 3-vinyl-lH-indoles **A** has only been sparsely explored in the case of alkoxy- [8a] [9], trialkylsilyloxy- [lo], alkylthio- [11], or amino-functionalized [8b] [12] derivatives. Hence, in continuation of our synthetic investigations on pericyclic reactions with vinylindoles [1-51 [7] [8] or **indole-2,3-quinodimethanes** [131 and as an extension of our preliminary communication

¹) This work is dedicated to Prof. Dr. Dr. *Ernst Mutschler*, Frankfurt/Main, on the occasion of his 60th birthday.

[8b], we now report further new results on the *Diels-Alder* reactions of the $(1H$ -indol-3yl)-enamides 1a-d with a variety of carbo- and heterodienophiles.

Although this methodology was first described in [12], we have now extended the scope of the procedure and explored the limitations of synthetic applications and the reactivity of this special class of 3-vinyl-1H-indoles. As the structure of **1** encompasses the **3-[(dialkylamino)methyl]-1H-indole** moiety of Aspidosperma alkaloids [141, the Diels-Alder adducts of **1** and carbodienophiles represent interesting building blocks for the syntheses of this class of alkaloids. An exemplary X-ray structure analysis of **lc,** molecular-mechanics calculations for conformational analysis, and *n* -SCF-MO calculations gave valuable information for the prediction of the *Diels-Alder* reactivity of acetylaminofunctionalized 3-vinyl-1*H*-indoles of type 1 in general.

Results and Discussion. – Synthesis and Structure of 3-Vinyl-1H-indoles 1a-d. As described in [12], but using a slightly modified procedure, the 3-vinyl-1H-indoles **la, lc,** and **1d** were prepared from the readily available 3-acetyl-1H-indoles $2a - c$ *via* $3a - c$ (Scheme 2). Methanolysis of **la** in the presence of excess silica gel proceeded smoothly to furnish **lb** (96% yield). It was shown that the previously unknown stereoselectivity of step $2 \rightarrow 3$ depends on the substituents R^1 and R^2 . Thus, 2a and 2c gave exclusively the (E)-isomers **3a** and **3c,** respectively, and **2b** the (Z)-isomer **3b** (HPLC). The *(E)-* or (2)-configuration of the oximes **3** was determined unequivocally with the help of H,H-NOE measurements.

In general, for the prediction of the outcome of the *Diels-Alder* process of a diene regarding its reactivity, the steric and electronic properties play an important role [15]. A high population of the s-cis-conformation of a diene in the reaction medium and, according to the FMO concept [16], a small HOMO-LUMO energy difference of the reactants, including optimal frontier molecular orbital overlap in a $[4\pi s + 2\pi s]$ transition state, considerably enhance concerted Diels-Alder reactions. However, molecular-mechanics calculations') revealed that a fully coplanar s-cis- conformation of the diene unit

²) For molecular-mechanics and the following π -VESCF MO calculations, the full version of the MMX program from *Serena Software Ltd.,* Bloomington, IN, was used. The molecular-mechanics program was established from the MM2 and MMPl programs *(Allinger* QCPE 395 and QCPE 318) by *K. E. Gilbert* and *J.J. Gujewski.* MO calculations were performed with **full** geometry optimization on the *s-cis-* synclinal conformations of **l,** and the MO method is described in [17].

Fig. 1. *Energy minimum conformations of* 1c *calculated by molecular mechanics*²). $C = s - cis$ -synclinal conformer, torsional angle $C(2)-C(3)-C(1')-C(2') = 30^\circ$; **D** = *s-trans-synclinal conformer*, torsional angle C(2)-C(3)-C(1')-C(2') = -144° . The starting geometry was taken from an X-ray analysis of conformer **I** (Table).

Fig. 2. *SCHAKAL* [30] *drawing of one of the two independent molecules of* **Ic** *in the crystal state.* Arbitrary numbering.

in **la-d** is energetically disfavored. Two local minimum conformations which show an s-cis-synclinal or an s-trans-synclinal arrangement of the 1-aminobutadiene moiety (torsion around the C(3)–C($1'$) σ -bond) were calculated for all four compounds **1** (see *Fig. 1*) for **lc).** These calculations revealed that the conformational equilibrium in the vacuum state is dependent on the bulk of the substituents $Rⁱ$ to $R⁴$ of 1. Hence, e.g., the s-trans -synclinal conformation of **lb** is favored over the s-cis-synclinal conformation by 3.91 kcal \cdot mol⁻¹, whereas for **1c** the s-*cis*-synclinal conformation is favored over the s-trans-synclinal form by 0.41 kcal. mol⁻¹.

However, an X-ray structure analysis of **lc** revealed that the s-trans-synclinal conformation is energetically favored in the solid state. Fig. 2 shows one of the two very similar independent molecules of **lc** in the crystal state. Selected bond lengths, bond angles, and torsional angles for both forms are given in the *Table.* As a consequence of the centrosymmetric space group, enantiomeric forms of both molecules are also present in the cell.

Table. *Selected Interatomic Distances* [pm], *Bond Angles, and Torsional Angles* ^{[\circ}] *for Both Independent Molecules* **I** *and* **I1** *qf 1c.frorn un X-Ray Analysis*

		\mathbf{I}			H
$N(1) - C(1)$	139.4(3)	140.8(3)	$C(2) - C(16)$	145.3(3)	143.9(4)
$N(1) - C(15)$	141.1(3)	141.0(3)	$C(3)-C(4)$	132.2(4)	131.1(4)
$N(1) - C(5)$	139.3(3)	139.8(4)	$C(3)-N(2)$	145.1(3)	145.0(3)
$C(1) - C(2)$	135.1(4)	134.5(4)	$N(2) - C(8)$	140.3(3)	140.8(4)
$C(2) - C(3)$	145.7(4)	147.1(4)	$N(2) - C(10)$	140.3(4)	140.9(4)
$C(1)-N(1)-C(15)$	107.4(2)	107.5(2)	$C(1)-N(1)-C(5)-O(1)$	175.2(2)	178.4(2)
$N(1) - C(1) - C(2)$	110.8(2)	109.9(2)	$C(1) - C(2) - C(3) - C(4)$	$-151.9(3)$	$-156.8(3)$
$C(1)-C(2)-C(3)$	125.7(2)	124.9(2)	$C(1) - C(2) - C(3) - N(2)$	27.1(4)	21.7(4)
$C(1) - C(2) - C(16)$	106.6(2)	107.9(2)	$C(2) - C(3) - N(2) - C(8)$	66.1(3)	72.5(3)
$C(16)-C(2)-C(3)$	127.7(2)	127.2(2)	$C(2) - C(3) - N(2) - C(10)$	$-119.0(3)$	$-110.3(3)$
$C(2)-C(3)-N(2)$	115.2(2)	115.4(2)	$C(3)-N(2)-C(8)-O(2)$	12.4(3)	2.8(4)
$C(2)-C(3)-C(4)$	125.8(3)	125.7(2)	$C(3)-N(2)-C(10)-O(3)$	$-161.1(2)$	$-160.3(3)$
$N(2) - C(3) - C(4)$	118.9(2)	118.8(3)			
$C(8)-N(2)-C(10)$	123.6(2)	125.6(2)			

The indole-ring system of **lc** is approximately planar (maximum deviation from the best plane = 4(1) pm). The Ac group at the indole $N(1)$ atom is nearly coplanar, the torsional angle C(1)-N(1)-C(5)-C(6) being $6.4(4)°$ [- 2.2(4)^o]³). The conformation of the diene unit $C(1)=C(2)-C(3)=C(4)$ is *s-trans-synclinal* with a torsional angle of $-151.9(3)$ ^o [$-156.8(3)$ ^o]³). The bond angles at C(2) and C(3) are relatively large, nearly 125° (Table), the bond length of the central $C(2)$ -C(3) bond has the typical butadiene value of 146 pm. The orientation of the acetylamino group at C(3) is nearly perpendicular with respect to the indole plane (*Figs. 2* and 3), the angle between the $N(2)$ –C(8) bond and the indole plane is 79.5(2) \degree [82.5(2) \degree]³), indicative of its quasiaxial position. The shortest intermolecular contact distance, 239 pm, is between $O(1)$ and $H-C(1)$ in the second independent molecule.

One of the conformations of **lc** calculated by **MMX** molecular mechanics') is in sufficient agreement with the conformation **I1** observed by crystallography *(Fig. 1,* con-

³) The corresponding values for the second independent molecule are given in brackets.

Fig. 3. *Stereouiew of the unit cell of* **lc**

formation **D** torsional angle $C(2) - C(3) - C(1') - C(2') = -144^{\circ}$. But it does seem remarkable that the orientations of both Ac moieties at N(2) in the theoretical structure **D** *(Fig. I)* are reversed with respect to those determined by X-ray crystallography.

 $H-MMR NOE$ measurements (400 MHz, (D_6) DMSO) reveal that, on the NMR time scale, compounds of type **1** undergo rapid conformational exchange in solution. Furthermore, we also can assume that the population of the sufficiently reactive s-cis-synclinal conformers in solution is large enough for *Diels-Alder* reactions to occur in all cases; this assumption is supported by observations of *Dreiding* models. In addition, molecular-mechanics calculations on the '*endo*'- and '*exo*'-*Diels-Alder* transition states of the reaction of **lc** with N-phenylmaleimide2) demonstrate that no special steric hindrance is in operation in both orientations of the reactants (difference of transition-state potential energies ΔE^{\neq} ('endo','exo') = 0.14 kcal·mol⁻¹; a bond order of 0.3 for the two σ -bonds to be generated was used). Moreover, π -VESCF-MO calculations performed in **1a-d** [17]²) revealed a HOMO topology in the 1-aminobutadiene unit which corresponds fully to that in the parent 3-vinyl-1H-indole $[18]^5$). Thus, we may predict a HOMO(diene)-LUMO-(dienophi1e)-controlled process for the Diels-Alder reactions of **1** with electron-deficient dienophiles.

In summary, the structural properties of $1a-d$ permit us to predict that these compounds possess sufficient Diels-Alder reactivity under normal electron demand [151.

^{4,} E (steric) of X-ray conformation **I** (Table), 40.1 kcal·mol⁻¹; E(steric) after refinement by molecular mechanics of X-ray geometry **I** (*Table*), 22.6 kcal·mol⁻¹.

 5 For the example of **Ic**, $E(HOMO) = -11.15 \text{ eV}$, $c(N(1)) = 0.2425$, $c(C(2)) = -0.3848$, $c(C(3)) = -0.3844$, $c(C(1')) = 0.2425$, $c(C(2')) = 0.3802$; the calculations were based on the geometry **C** shown in *Fig. 1*.

Diels-Alder Reactions of **la-d** *and Structure of the Products.* The reactivity of the 3-vinyl- 1H-indoles **la-** was tested in reactions with N-phenylmaleimide (NPMI), **4 phenyl-3H-l,2,4-triazole-3,5(4H)-dione** (PTAD), acrylaldehyde, and tetracyanoethene $($ = ethenetetracarbonitrile; TCNE). The results obtained clearly demonstrate that compounds **1** belong to the group of generally less reactive 3-vinyl-lH-indoles. Special reaction conditions were necessary in some cases in order to prevent solvolysis of the enamides 1 to the more stable 3-acetyl- H -indoles. At higher temperatures (with the

 $NPMI = N-Phenylmale$ imide PTAD = **4-Phenyl-3H-1,2,4-triazole-3.5(4H)-dione** TCNE = Ethenetetracarbonitrile

exception of reactions in toluene), **1** usually underwent polymerization, before any reaction with the dienophile could take place. However, under well controlled conditions (inert-gas atmosphere, highly activated molecular sieves $(4 \text{ Å})^6$) as nonacidic catalyst, highly pure and anhydrous solvents, and strict absence of any acidic components), some novel [blannelated indoles and indole derivatives were obtained (Scheme **3).**

Thus, **la-c** all reacted with NPMI at room temperature to furnish exclusively the 'endo'-cycloadducts **4a** or **4b** (10 days to 20 weeks were required for reasonable results; see Scheme 3). In the case of **la** and **lc,** concomitant transformation of the endiacetamide moiety to an enacetamide occurred. TLC monitoring of this time-dependent reaction suggested that the initial cycloaddition to a product with an endiacetamide functionality is more rapid at room temperature than the probable solvolysis of these endiacetamides to the more stable and exclusively isolated enacetamides **4a** or **4b.** Moreover, TLC analysis of the crude reaction mixtures revealed that these solvolyses do not take place during the workup procedure. The thermally more stable **lc** reacted with NPMI in toluene under reflux exclusively to the 'exo '-cycloadduct **4c** besides polymeric products (Scheme *3).*

With the highly dienophilic PTAD compounds **la** and **lc** gave, under kinetically controlled conditions (after merely 2 h in the absence of molecular sieves), the [blannelated indolines **5a** and **5c,** respectively. The 3-vinyl- 1 H-indole **lb** underwent $[4 + 2]$ cycloaddition with acrylaldehyde in a regioselective and '*endo*'-controlled process to provide the functionalized carbazole derivative *6* which was first described in [12].

On the other hand and in spite of several variations of the conditions, reactions of **1** with TCNE did not produce any cycloadducts. The reaction of **lb** with TCNE gave rise to the stereoselective formation of the novel Michael- type adduct **7** (Scheme *3)* [20].

For the purpose of investigating the influence of a Me group at $C(2)$ on the reactivity of 3-vinyl- 1H-indoles, we have investigated the Diels-Alder reactivity of **Id.** However, only the addition with the highly reactive PTAD was successful in our hands, giving the [4 + 21 cycloadduct **8** in reasonable yield (Scheme *3).* Hence, it seems probable that, as yet, the presence of further substituents on the 1-aminobutadiene moiety does not hinder the build up of a *Diels-Alder* transition state; this is in full accord with observations of Dreiding models.

The constitutions and relative configurations of the products **4-8** were established unequivocally on the basis of 400-MHz 1 H-NMR spectral investigations. For the elucidation of the '*endo*'- and/or '*exo*'-configurations and the (Z) -configuration of 7, additional differential H,H-NOE measurements were performed. **A** low-energy conformation of **4a** as calculated by molecular mechanics²) is exemplarily depicted in Fig. 4. The vicinal H,H-coupling constants of the bis-annelated cyclohexene rings (see *Exper. Part*) and the differential H,H-NOE's, e.g., from H-C(10b) to H-C(10a) and to H-C(3a) and vice uersa, in the 'endo '- products clearly established the given configuration (Fig. *4).* Calculations of polar dihedral maps (Weiler plots) [21] of **4a** and **4b** revealed that the bis-annelated cyclohexene ring adopts a slightly twisted boat conformation in these two cases, and this is fully compatible with the observed H,H-coupling constants. In the case of

⁶) The molecular sieves employed belonged to the zeolite group; the catalytic effect should be of a physical nature (concentration of the reactants in the cavities of the material), see [19].

compound **7**, an NOE from the vinyl proton to indole $H-C(2)$ and indole $H-C(4)$ is also indicative of the postulated configuration and constitution.

According to the π -SCF-MO calculations [17], the *'endo'*-selectivity and the regiochemistry') observed in the reaction of **lb** with acrylaldehyde is fully compatible with the predictions of the FMO concept [161. The formation of the 'endo'-cycloadducts **4a** and **4b** under mild (kinetically controlled) conditions can be accounted for by an energetically favored secondary HOMO(diene)-LUMO(dienophile) orbital interaction in the *Diels-Alder* transition state [23]*). The one-bond formation in the reaction of **lb** with the electrophilic TCNE should be the result of a polarity-controlled mechanism, although steric effects which make the formation of a six-membered ring difficult may also play an important role.

Addendum. - Meanwhile a single-crystal X-ray analysis of **4b** was performed [31], showing that the established configuration and conformation of **4b** is fully compatible with the 'H-NMR results. Additionally, the 'endo'-configuration for **4a** and **4b** is unambiguously proved in this cycloadduct series.

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^{&#}x27;) The regioselectivity in the reaction of **lb** with acrylaldehyde can also be explained by a polarity-controlled orientation of the reactants; in a two-step process, a biradical or a zwitterionic intermediate can be formed, whereas in a one-step process, a highly unsymmetrical transition state may arise *[22].*

⁸) The 'endo'-selectivity of *Diels-Alder* reactions has also generally been explained by attractive *van der Waals* [24], dipole-dipole *[25],* and steric [26] interactions and closed-shell repulsions [27] between the dienophile substituents and the diene in the transition state.

Experimental Part

General. All reactions must he performed in highly pure, anh. solvents under inert-gas atmospheres. Column chromatography (CC): silica gel 60 *(Merck*, 0.063-0.200 mm particle size). Flash chromatography (FC): silica gel 60 *(Merrk,* 0.04&0.063 mm particle size). HPLC: *RP-18* column *(Merck); Merck-Hituchi L* 6200, *L-4000* UV detector. Eluents: petroleum ether (40-60°)/AcOEt. M.p.: *Biichi SMP-20;* not corrected. 'H-NMR (400 MHz) and ¹³C-NMR (100.6 MHz) spectra: *Bruker-WM-400* spectrometer; δ [ppm] scale, coupling constants J in Hz, TMS as internal standard. EI-MS (70 eV): *Vuriun MAT* 7, data given as *m/z* (%). FD-MS (70 eV): *Varian MAT* 711, data given as m/z (%). C,H,N Analyses: *Carlo-Erba-Strumentazione-1106* apparatus.

N-{1-[1-(Phenylsulfonyl)-1H-indol-3-yl]vinyl}diacetamide (1a). A soln. of 3b [12] (1.0 g, 3.2 mmol) in pyridine (90 mi) and Ac,O (60 ml) was heated under reflux for 48 h. The residue obtained after concentration under high vacuum was extracted with Et₂O. The org. phase was washed with aq. 1N Na₂CO₃, dried (Na₂SO₄), and evaporated. The residue was then purified by FC (petroleum ether/AcOEt 1 :l): 650 mg (55%) of **la.** Colorless crystals. M.p. 158° (AcOEt). ¹H-NMR ((D₆)DMSO): 2.33 (s, 2 Me); 5.51 (d, $J = 0.8$, 1 olef. H); 6.09 (d, $J = 0.8$, 1 olef. H); 7.34 *(dd, J* = 7.8.7.9, H-C(5) or H-C(6)); 7.57 *(dd, J* = 7.5, 7.6, H-C(3'), H-C(5') (PhSO,)); 7.68 *(dd,* ((D,)DMSO): 25.72 (2 Me); 113.49 (C(7)); 117.57 (C=CH,); 119.81 (C(3)); 120.74 *(C(5));* 124.23 (C(4)); 125.37 (C(6), C(2)); 126.68 **(C(3'),** C(5') (PhSO,)); 127.07 (C(3a)); 129.74 *(C(2), C(6));* 134.73 *(C(4));* 134.96 (C(7a)); 136.60 (C=CH2); 137.39 (C(1')); 171.98 (2 *C=O).* **EI-MS:** 382 (24, *M"),* 339 (loo), 298 (18), 208 (98). Anal. calc. for C₂₀H₁₈N₂O₄S (382.44): C 62.81, H 4.74, N 7.33; found: C 62.83, H 4.65, N 7.45. *J* = 7.4, 7.4, H-C(4')); 7.85 *(d, J* = 7.9, H-C(7)); 7.98-8.03 *(m, H*-C(2), H-C(4), H-C(2'), H-C(6')). ¹³C-NMR

N-{ *1-(1-(Phenyl.sulfonyI)-l H-indol-3-yl]uinyl}acetumide* **(lb).** Product **la** (300 mg, 0.8 mmol) was dissolved in MeOH (60 ml). Highly activated silica gel 60 (Merck, particle size 0.063 -0.200 mm, dried at 160°/600 Torr) was added until the mixture was dry. After 10 h, CH_2Cl_2 (60 ml) was added, the mixture filtered, and the org. phase concentrated under high vacuum. The residue was crystallized from petroleum ether/AcOEt: 260 mg (96%) of **lb.** Colorless crystals. M.p. 148". 'H-NMR ((D,)DMSO): 2.03 (s, Me); 5.08 **(s,** 1 olef. H); 5.83 (s, **1** olef. H); 7.30 *(dd, ^J*= 7.7, 7.8, H-C(5) or H-C(6)); 7.38 *(dd, J* = 7.2, 7.3, H-C(6) or H-C(5)); 7.59 *(dd, J* = 7.5, 7.4, H-C(3'), 340 (60, *M*⁺⁺), 325 (30), 298 (39), 200 (100). Anal. calc. for C₁₈H₁₆N₂O₃S (340.40): C 63.45, H 4.70, N 8.22; found: C 63.20, H 4.75, N 7.97. H-C(5')(PhSO,)); 7.68-8.05 **(m,H-C(2),H-C(4),H-C(7),H-C(2'),H-C(4),H-C(6'));** 9.32 **(s,** NH). EI-MS:

N-(1-(1-Acetyl-1 *H-indol-3-yl)uinyl]diucetamide* **(Ic). A** soln. **of3a** (I *.5* g, 8.6 mmol) in pyridine (250 ml) and Ac,O (140 ml) was heated under reflux for 72 h. Workup as described for **la** and purification by FC (AcOEt): 800 mg (33%) of **lc.** Colorless crystals. M.p. 150" (AcOEt). 'H-NMR ((D,)DMSO): 2.36 *(3,* 2 Ac); 2.65 (s, 1 Ac); *5.55* **(s,** 1 olef. H); 6.10 (s, **1** olef. H); 7.34-7.42 *(m,* H-C(5), H-C(6)); 7.87 *(dd,* ,J(6,7) = 7.2, 4J(5,7) = 1.2, H-C(7)); 7.97 **(s,** H-C(2)); 8.41 *(dd,* 'J(5,4) = 8.0, 4J(6,4) = 1.1, H-C(4)). 13C-NMR (CDCI,): 23.9 *(MeCO);* 26.2 (2 Me); 116.9 (C(7)); 117.2 (C=CH,); 119.5 **(C(3));** 119.6 *(C(5));* 123.7 (C(4)); 124.3 (C(2)); 125.9 (C(6)); 127.1 (C(3a)); 136.5 (C(7a)); 138.5 (C=CH,); 168.3 (MeCO); 172.7 *(2* MeCO). **€1-MS:** 284 (18, *M+),* 242 (loo), 200 (78), 185 (68), 159 (70), 143 (31). Anal. calc. for C16H16N20, (284.32): *C* 67.59, H 5.67, N 9.85; found: C 67.50, H 5.79, N 9.68.

N-{ *l-(I-(Phenylsulfonyl)-2-methyl-lH-indol-3-yl]vinyl}diaretamide* **(Id).** A soln. of **3c** (1.2 g, 3.53 mmol) in pyridine (100 ml) and Ac20 (60 ml) was heated under reflux for 96 h. Workup as described for **la** and purification by FC (petroleum ether/AcOEt 1:1): 300 mg (21%) of **1d**. Colorless crystals. M.p. 143° (AcOEt). ¹H-NMR ((D,)DMSO): 2.25 **(s,** 2 Me); 2.66 (s, Me-C(2)); 5.62 (s, **1** olef. H); 5.67 **(s, 1** olef. H); 7.23-7.37 *(m,* H-C(5), $J = 7.5$, 7.5, H-C(2'), H-C(6')); 8.09 *(d, J* = 8.2, H-C(4)). ¹³C-NMR ((D₆)DMSO): 13.5 *(Me-C(2))*; 25.8 (2 Me); 114.3 (C(7)); 117.4 (C=CH2); 118.8 (C(4)); 121.2 **(C(3));** 124.2 *(C(5));* 124.5 (C(6)); 126.2 (C(3'), C(5') (PhSO,)); 127.3 (C(3a)): 129.9 *(C(2'),* C(6')); 134.6 (C(4)); 135.1 (C(7) or C(2)); 135.9 (C(2) **or** C(7)); 163.1 (C=CH₂); 137.5 (C(1')); 172.56 (2 C=O). EI-MS: 396 (78, M⁺⁺), 213 (100), 144 (37). Anal. calc. for C₂₁H₂₀N₂O₄S (396.47): C 63.62, H 5.08, N 7.08, **S** 8.09; found: C 63.60, H 5.12, N 7.10, S 8.00. H-C(6), H-C(7)); 7.57 *(dd,J* = 7.6, 7.5, H-C(3'), H-C(5')(PhSOJ); 7.67 *(dd, J* = 7.4, 7.5, H-C(4)); 7.85 *(dd,*

(E)-l-(IH-Indol-3-yl/rthunone Oxirne **(3a).** Compound **2a** (1.3 g, 8 mmol) was dissolved by warming in MeOH (280 ml). A mixture of NH₂OH 'HCl (13.9 g, 0.2 mol) and NaOAc (14.7 g) in H₂O (100 ml) was added. The mixture was then heated under reflux for 12 h. After cooling, the mixture was evaporated, the residue mixed with $H₂O$ (30 ml) and extracted several times with Et₂O, the org. phase washed with aq. 1N NaHCO₃, dried (Na₂SO₄), and evaporated, and the residue recrystallized from MeOH: 900 mg (65%) of $3a^9$). Colorless crystals. M.p. 147^o (MeOH). 'H-NMR ((D,)DMSO): 2.18 **(s,** Me); 7.04 *(dd, J* = 7.5, 7.4, H-C(5) or H-C(6)); 7.12 *(dd, J* = 7.3, 7.1,

⁹) The stereoselective synthesis of 3a was confirmed by HPLC (RP-18 column, \varnothing 12.5 cm, MeCN/H₂O 7:2, UV detector (254 nm)).

H-C(6) or H-C(5)); 7.38 (d, *J* = 8.0, H-C(7)); 7.64 **(s,** H-C(2)); 8.14 *(d, J* = 7.9, H-C(4)); 10.51 **(s,** OH); 11.29 (s, NH). EI-MS: 174 (100, M⁺⁺), 157 (41), 142 (31), 117 (62). Anal. calc. for C₁₀H₁₀N₂O (174.20): C 68.95, H 5.79, N 16.08; found: C 69.11, H 5.75, N 16.11.

(Z)-I-[I-(Phenylsuljonyl)-lH-indol-3-yl]ethunone Oxime **(3b).** A soln. of **2b** (3.0 g, 10 mmol) in MeOH (300 ml) was warmed and a mixture of NH₂OH \cdot HCl (17.5 g, 0.26 mol) and NaOAc (18.25 g) added. The mixture was heated under reflux for 15 min, cooled, and the solvent evaporated. The residue was suspended in $H₂O(30 \text{ m})$ and extracted several times with Et₂O. The combined org. phases were washed with aq. 1N NaHCO₃, dried (Na₂SO₄), and concentrated. The residue was crystallized from MeOH: 2.94 g (94%) of **3b").** Colorless crystals. M.p. 142". 'H-NMR ((D,)DMSO): 2.22 **(s,** Me); 7.28 *(dd, J* = 7.9, 8.0, H-C(5) or H-C(6)); 7.37 (dd, *J* = 8.3, 8.4, H-C(6) or H-C(5)); 7.59 (dd, *J* = 7.5, 7.5, H-C(3'), H-C(5') (PhSOz)); 7.68 *(dd, J* = 7.3, 7.3, H-C(4)); 7.94 *(d, J* = 8.2, H-C(7)); 8.04(d,J = **8.1,H-C(2'),H-C(6));8.13(s,H-C(2));8,18(d,J** =7.7,H-C(4)); 11.20(s,OH).EI-MS: 314 (100, M⁺⁺), 173 (96), 141 (14), 132 (70), 115 (30), 77 (89). Anal. calc. for C₁₆H₁₄N₂O₃S (314.36): C 61.13, H 4.49, N 8.91; found: C 61.30, H 4.45, N 8.90.

(E)-I-[l-(Phenylsulfonyl/-2-methyl-l H-indol-3-yl]ethnnone Oxime **(3c).** Synthesized according to the procedure to compound **3a** from **2c (5** g, 16 mmol) in MeOH (650 ml), NH20H.HCl(28 g, 0.4 mol) and NaOAc (29 g) in 200 ml water. The mixture was heated under reflux for 20 h. Yield: 3.3 g (62.8%) of **3c.** Colorless crystals. M.p. 162"(MeOH). 'H-NMR ((D,)DMSO): 2.14 (s, Me); 2.63 **(s,** Me-C(4)); 7.22-7.92 *(m,* 8 arom. H); 8.10 (d, *J* = 7.7, H-C(4)); 11.32 **(s,** OH). EI-MS: 328 (62, *M"),* 187 (48), 170 (loo), 146 (52), 128 (20), 77 (81). Anal. calc. for C1,Hl6NZO3S (328.39): C 62.18, H 4.91, N 8.53, *S* 9.76; found: C 62.10, H 5.09, N 8.51, **S** 9.70.

(&)- N-[1,2,3,3u~,4,lO, *IOa~,IOb~-Octahydro-l,3-dioxo-2-phenyl-10-(phenylsulfonyl)pyrrolo[3,4-* a]cnrbazol-5-yl]acetamide **(4a).** To a soh. of **la** (190 mg, 0.5 mmol) and N-phenylmaleimide (86 mg, 0.5 mmol) in CH,CI, (30 ml), highly activated molecular sieves (4 *8,;* 5 g) were added, and the mixture was stirred at 20° for 10 days. The molecular sieves were then filtered off and the solvent evaporated. The residue was purified by FC (AcOEt): 38 mg (14%) of **4a.** Colorless crystals. M.p. 179" (AcOEt).

In the same way, **4a** was obtained from **lb** (340 mg, 1 mmol) and N-phenylmaleimide (172 mg, 1 mmol) in CH_2Cl_2 (30 ml) in the presence of molecular sieves (5 g). The mixture was stirred at 20 $^{\circ}$ for 18 h and the product purified by FC: 150 mg (30%) of 4a. ¹H-NMR (CDCI₃): 2.04 (s, Me); 2.56 (dd, $^{2}J = 15.9$, $^{3}J = 7.2$, H_n-C(4)); 3.26 $(d, {}^{2}J = 15.9, H_{\alpha} - C(4));$ 3.31–3.35 (m, $H_{\beta} - C(3a)$); 4.18 $(dd, {}^{3}J = 8.9, 6.8, H_{\beta} - C(10a));$ 6.93–7.87 (m, 1 arom. H). ¹H-NMR ((D₆)DMSO): 9.58 (NH). El-MS: 513 (24, *M*⁺), 372 (20), 340 (11), 330 (100), 199 (67). Anal. calc. for C,,H,,N,O,S (513.20): C 65.47, H 4.48, N 8.18, **S** 6.24; found: C 65.60, H 4.39, N 7.91, **S** 5.96.

(\pm)-N-(10-Acetyl-1,2,3,3a β ,4,10,10a β ,10b β -octahydro-1,3-dioxo-2-phenylpyrrolo[3,4- a]carbazol-5-yl) acetamide (4b). As described for 4a, from 1c (200 mg, 0.7 mmol), N-phenylmaleimide (120 mg, 0.7 mmol), CH₂Cl₂ (20 ml), and molecular sieves (4 Å; 5 g; standing at r.t. for 20 weeks). The residue was purified by CC (AcOEt): 68 mg (23%) of **4b.** Colorless crystals. M.p. 188"(AcOEt). 'H-NMR (CDCI,): 2.13 **(s,** AcNH); 2.55 **(s,** Ac-N(l0)); 2.60 $(dd, {}^{2}J = 15.9, {}^{3}J = 7.0, H_{\text{fl}}-C(4))$; 3.17 $(d, {}^{2}J = 15.8, H_{\text{a}}-C(4))$; 3.32 $(dd, {}^{3}J = 7.0, 8.1, H - C(3a))$; 4.43 $(dd,$ $^{3}J = 8.3, 7.1, H_{0} - C(10b)$; 4.95 *(d, ³J* = 7.0, H₀ - C(10a)); 6.89--7.42 *(m, 9 arom. H).* EI-MS: 415 (46, M⁺⁺), 373 (15), 330 (52), 242 (30), 200 (82). Anal. calc. for C₂₄H₂₁N₃O₄ (415.17): C 69.43, H 5.09, N 10.12; found: C 69.38, H 5.15, N 10.08.

(*) - N- (lO-Acetyl-I,2,3,3~~.4, *IO,lOaa,IOb~-octahydro-l,3-dioxo-2-phenylpyrrolo[3.4-* a]carbazol-5-yl) diacetamide **(412).** To a soh. of **Ic** (370 mg, 1.3 mmol) in toluene (20 ml) were added molecular sieves (4 A; 3.0 g) and N-phenylmaleimide (190 mg, 1.09 mmol). The mixture was heated under reflux for 3 days, allowed to cool and filtered, the filtrate evaporated, and the residue purified by CC (petroleum ether/AcOEt 1:1): 71 mg (12%) of 4c. Colorless crystals. M.p. 240° (petroleum ether/AcOEt). ¹H-NMR ((D₆)DMSO): 2.28, 2.29, 2.41 (3s, 3 Me); 2.64 *(dd, J* = 9.9, 9.7, H_B-C(3a)); 2.73 (dd, J = 15.4, 8.6, H_a-C(4)); 2.88 (dd, J = 15.4, 9.6, H_B-C(4)); 3.61 (dd, J = 9.1, 9.0, H_B-C(10b)); 5.40 *(d, J* = 10.4, H_a-C(10a)); 7.16 *(dd, J* = 7.6, 7.4, 1 H-C(6) or H-C(7)); 7.22 *(d, J* = 6.9, H-C(5) or H-C(8)); 7.38 (m, H-C(2'), H-C(6') (Ph) H-C(7) or H-C(6)); 7.43 (dd, *J* = 7.4, 7.4, H-C(4)); 7.53 *(dd, J* = 7.8, 7.4, H-C(3'), H-C(5')); 7.92 (d, *J* = 7.9, H-C(8) or H-C(5)). EI-MS: 457 *(M+').* HR-MS: 457.4229 $(C_{26}H_{23}N_3O_5,$ calc. 457.4848).

(f)-N-[2,3,11.1 *Iu~-Tetrahydro-l,3-dioxo-2-phenyl-ll-* (phenylsuljony1)-l H.5 H-(I,2,4]triazolo[l,2- alpyri $dazino[3,4-b]indol-6-yl/diacetamide$ (5a). To a soln. of **la** (120 mg, 0.32 mmol) in CH₂Cl₂ (20 ml) at -78° , 4-phenyl-3H-1,2,4-triazol-3,5(4H)-dione $(60 \text{ mg}, 0.34 \text{ mmol})$ in CH₂Cl₂ (10 ml) was added from a syringe and the mixture stirred at -78° for 0.5 h followed by 1 h at r.t. The org. solvent was evaporated and the residue crystallized from petroleum ether/AcOEt: 165 mg (92%) of **5a.** Slightly yellow crystals. M.p. 168" (AcOEt). 'H-NMR (CDCI₃): 2.06 *(s, Me)*; 2.50 *(s, Me)*; 4.28 *(dd ²J* = 16.4, $\overline{5}$ *J* = 1.1, H_a-C(5) or H_a-C(5)); 4.57 *(dd, ²J* = 16.4,

¹⁰) The stereoselective synthesis of **3b** was confirmed by HPLC (see *Footnote*⁹) for details).

 $^{5}J = 2.0$, H_B-C(5) or H_z-C(5)); 6.36 *(d,* $^{5}J = 1.5$, H-C(11a)); 7.06–7.60 *(m*, 12 arom. H); 8.03 *(d,* $^{3}J = 8.0$, 2 arom. H). FD-MS: 557 (100, *M⁺⁺)*, 497 (20), 474 (10), 415 (32), 379 (22). Anal. calc. for C₂₈H₂₃N₃O₆S (557.59): C 60.31, H 4.16, N 12.56; found: C 60.05, H 4.25, N 12.32.

(+)- N-(*I I-Acetyl-2,3.1 I .lla~-tetrahydro-2-phenyl-I* H,5 *H-[l,2,4]triazolo(l.2-a]pyridazino[3,4-* bjindol-6 $y1$ *diacetamide* (**5c**). As described for **5a**, from **1c** (100 mg, 0.35 mmol), CH₂Cl₂ (20 ml), 4-phenyl-3H-1,2,4-triazole- $3,5(4H)$ -dione (65 mg, 0.37 mmol), CH₂Cl₂ (10 ml; 0.5 h at -78° , 2 h at r.t.) The residue was purified by CC (AcOEt): 85 mg (53%) of *5c.* Slightly yellowish crystals. M.p. 188". 'H-NMR (CDCI,): 2.37 **(s,** Me); 2.41 **(s,** Me); 2.58 (s, Me); 4.36 *(d, ²J* = 16.2, H_z-C(5) or H_B-C(5)); 4.74 *(d, ²J* = 16.2, H_B-C(5) or H_a-C(5)); 6.31 (s, H--C(11a)); 7.08-7.42 *(m, 9 arom.).* FD-MS: 459 (100, M^{+}), 398 (57). Anal. calc. for C₂₄H₂₁N₅O₅ (459.19): C 62.77,H4.57,N15.24;found:C62.69,H4.60,N 15.19.

(+)-N-(l-Form,vl-I~,2,3,9a~-tetra~1ydro-9-(phenylsu~onyl)-9 H-carhazol-4-yllacetamide (6). To a suspension of **Ib** (100 mg, 0.34 mmol) in acrylaldehyde (30 ml, 0.50 mmol), molecular sieves (4 **A;** *5* g) were added. The mixture was stirred at r.t. for 7 days. The mixture was filtered, the filtrate concentrated, and the residue purified by FC (AcOEt): 96 mg (71 %) of 6. Slightly yellowish crystals. M.p. 154° [12]. ¹H-NMR (CDCI₃): 1.69–1.82 *(m,* 1 H, CH,); 2.06 **(s.** Me); 2.18-2.24 *(m,* **1** H, CH,); 2.31-2.37 *(m,* I H, CH,); 2.88 *(dd, 'J* = 19.0, *'J* = 3.2, 1 H, CH,); 3.64-3.65 *(m, H*-C(1)); 4.53 *(d, ³J* = 3.2, H-C(9a)); 6.89 *(s, NH)*; 7.23-7.75 *(m, 7* arom. H); 7.87 *(d, J* = 8.2, H-C(5)); 9.86 **(s,** CHO). El-MS: 396 (11, *M*),* 340 (lo), 255 (23), 199 (69), 183 *(53),* 77 (100). Anal. cdk. for $C_{21}H_{20}N_2O_4S$ (396.52): C 63.62, H 5.08, N 7.07; found: C 63.56, H 5.00, N 6.95.

 (Z) - $N-\{I-I\}$ -(Phenylsulfonyl)-*I* H-indol-3-yl]-3,3,4,4-tetracyanobut-I-en-I-yl}acetamide (7). To a suspension of **Ib** (100 mg, 0.34 mmol) and ethenetetracarbonitrile (45 mg, 0.35 mmol) in toluene (50 mi), molecular sieves $(4 \text{ Å}; 7 \text{ g})$ were added. The mixture was stirred at r.t. for 3 days, and filtered, the filtrate evaporated, and the residue purified by CC (AcOEt/petroleum ether 1 :l): 188 mg (68%) of **7.** Slightly yellowish crystals. M.p. 219" (dec. with blue coloring of crystals; from AcOEt). 'H-NMR ((D,)DMSO): 1.85 **(s,** Me); 5.43 (s, H-C(2)); 7.35 *(dd, J* = 7.9, 7.2, H-C(5') or H-C(6') (indol)); 7.42 *(dd,* ³J = 7.2, 7.7, H-C(6') or H-C(5')); 7.62 *(dd,* ³J = 7.8, 7.7, H-C(3"), *(dd, J* = 7.5, 7.5, H-C(2"), H-C(6)); 8.15 (s, H-C(2)); 8.25 **(s,** H-C(4), exchangeable withD,O); 10.01 **(s,** NH, exchangeable with D₂O). EI-MS: 468 (13, M⁺), 398 (21), 351 (31), 258 (30), 141 (29), 77 (100). Anal. calc. for $C_{24}H_{16}N_6O_3S$ (468.50): C 61.53, H 3.44, N 17.94; found: C 60.97, H 3.38, N 17.85. H-C(5") (PhSO,)); 7.72 *(dd, 'J* = **7.4,** 7.5, H-C(4)); 7.80 *(d, 'J* = 7.9, H-C(7')); 7.97 *(d, J* = 8.3, H-C(4')); 8.07

(+)- *N-[2,3.1 I, I lap-Tetrahydro-1 la~-methyl-l,3-dioxo-2-phenyl-l I* - *(phenylsuljonyl)* -[*1,2,4]triazolo[1,2-* a] *pyridazino[3,4-b]indol-6-yl]diacetamide* **(8).** To a soh. of **Id** (100 mg, 0.25 mmol) in CH,CI, (20 ml), 4-phenyl-**3H-1,2,4-triazole-3,5(4H)-dione** (90 mg, 5.1 mmol) in CH,CI, (10 ml) was added and the mixture stirred at 20"for *5* days. The solvent was then evaporated and the residue purified by FC (petroleum ether/AcOEt]:I): 105 mg (74%) of8. Colorless crystals. M.p. 188"(AcOEt). 'H-NMR (CDCI,): 1.60 (s, Me-C(l1a)); 2.11 **(s,** MeCO); 2.54 (s, 3 H, MeCO); 4.20 (s, CH₂); 7.12–7.78 *(m, 14 arom. H).* ¹³C-NMR (CDCl₃): 24.4 *(Me–C(11a))*; 26.4 *(Me)*; 26.5 (Me); 49.1 (C(5)); 82.4 (C(11a)); 119.4 (C(10)); 123.6 (C(7)); 124.6 (C(6a)); 125.8 (C(6)); 126.1 (C(8)); 126.2 (C(2'), (C(l0a)); 139.6 (C(1')); 141.7 (C(1")); 149.9 (C=O); 154.2 (C=O); 170.10 (C=O); 172.8 (C=O). El-MS: 571 *(5, M*⁺), 470 (13), 210 (49), 154 (18), 119 (100). Anal. calc. for C₂₉H₂₅O₆S (571.15): C 60.93, H 4.41, N 12.26, S 5.60; found: C 60.90, H 4.52, N 12.32, S 5.61. $C(6')$ (Ph-N(2))); 127.9 (C(2"), $C(6'')$ (PhSO₂)); 128.8 (C(9)); 128.9 (C(3'), C(5')); 129.3 (C(3"), C(5")); 138.5

Crystal-Structure Determination of **Ic** $(C_{16}H_{16}N_2O_3)$. A dark yellow crystal of **1c** (approximate dimensions: $0.65 \times 0.57 \times 0.40$ mm) was investigated using a 4-circle diffractometer *(Enraf Nonius CAD 4, CuK_a* radiation, graphite monochromator). The monoclinic lattice constants were refined from 25 high angle reflections to $a = 1052.6(2)$, $b = 2819.4(3)$, $c = 982.8(3)$ pm, $\beta = 92.94(2)$ °. From systematic extinctions, the space group $P2_1/c$ with $Z = 8$ was derived. Thus, the structure – resolved by direct methods (SHELXS-86 [28]) – contains two very similar independent molecules in the asymmetric unit. The refinement (457 parameters) was performed using full-matrix least-squares methods (SHELX76 [29]) based on 3245 unique reflections $(F_0 > 3\sigma, \Theta$ 2-55°) and using the weighting factor $w = 1/\sigma^2(F_0)$. Anisotropic temperature factors were assigned to all non-H-atoms. The H-atoms were located in a difference *Fourier* map, whereas, for the indole system, they were fixed at 'riding' positions. For each different type of H-atoms, a common isotropic temperature factor was refined. The final residuals converged to $R = 0.046$ and $wR = 0.039$, the largest parameter shift in the last cycle was 0.02 e.s.d., the max/min peaks in a difference *Fourier* map were $0.14/-0.21 e/\mathring{A}^2$. Additional information, the resulting atomic parameters, and the structure factor tables have been deposited $¹¹$.</sup>

¹¹) This material is available on request from the *Fachinformationszentrum Karlsruhe, Gesellschaft für wis*senschaftlich-technische Information mbH, D-7514 Eggenstein-Leopoldshafen 2, on quoting the depository number CSD-55162, the names of the authors, and the journal citation.

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